# Acute sinusitis data classification using grey wolf optimizationbased support vector machine

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Article Info	ABSTRACT
Article history: Received Mar 11, 2020 Revised Mar 12, 2021 Accepted Apr 13, 2021 <i>Keywords:</i> Acute sinusitis Grey wolf optimization Meta-heuristic Support vector machine	Acute sinusitis is the most common form of sinusitis, and it causes swelling and inflammation within the nose. The main thing that can causes sinusitis is probably due to viruses, and also can be caused by other factors, namely bacteria, fungi, irritation, dust, and allergens. In this research, the CT scan data attributes will be used for classification and grey wolf optimization- support vector machine (GWO-SVM) will be the machine learning technique used, where the GWO technique will be used to tuned the parameters in SVM. The performance of methods was analyzed using the python programming language with different percentages of training data, which started from 10% to 90%. The GWO-SVM method proposed provides better accuracy than using SVM without GWO. <i>This is an open access article under the <u>CC BY-SA</u> license.</i>
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# 1. INTRODUCTION

Acute sinusitis is the most common form of sinusitis, and it causes swelling and inflammation within the nose [1]. The main thing that can causes sinusitis is probably due to viruses, and also can be caused by other factors, namely bacteria, fungi, irritation, dust, and allergens [2]. In diagnosing, there are several criteria that can be considered, such as nasal obstruction, facial pain, fever, and others. Some of the things mentioned earlier are included in the major criteria, while there are also minor criteria such as dental pain, headache, fatigue, cough, and others [3]. There are several methods in diagnosing sinusitis i.e. computed tomography scanning (CT scan), nasal endoscopy, nasal and sinus culture, allergy test and magnetic resonance imaging (MRI) [4]. In this research, the CT scan data attributes will be used for classification methods.

The previous research on support vector machine was proposed to process this data [4]. Also, many researches before using other methods of classification for classifying disease data, such as binary logistic regression for ovarian cancer classification [5], fast fuzzy clustering for breast cancer data [6], naive bayes classifier for predicting colon cancer [7], random forest classifier for predicting prostate cancer [8], and many more. Therefore, in this research, grey wolf optimization-support vector machine (GWO-SVM) will be the machine learning technique used, where the GWO technique will be used to tuned the parameters in SVM.

# 2. RESEARCH METHOD

# 2.1. Dataset

Acute sinusitis data from the Department of Radiology at Cipto Mangunkusumo Hospital at Jakarta in Indonesia, was used in this study. The data sets has 200 rows with two classes named acute sinusitis and non-acute sinusitis, respectively. Furthermore, this dataset contains five variables, which one of them is dependent and the rest of them are independent. The dependent variable is a class of whether the patient has acute sinusitis marked 0 or non-acute sinusitis marked 1. While, the independent variables are gender (0 for male, 1 for female), age, air cavity, and hounsfield unit (HU). Where, hounsfield unit is a relative quantitative measurement of radio density utilized by radiologists within the interpretation of computed tomography (CT) pictures [9]. These variables are used to diagnose the acute sinusitis. Table 1 shown contains three rows of data.

Table 1. Example of sinusitis data				
Gender	Age	HU (Hounsfield units)	Air Cavity	Diagnosis
1	76	138	-1020	1
0	20	38	-967	0
1	57	27	-999	0

#### 2.2. Grey wolf optimization (GWO)

Grey wolf optimization (GWO) is one of recent meta-heuristics methods which inspired by grey wolves (*Canis Lupus*), proposed by Mirjalili *et al.* [10]. The GWO algorithm simulates the leadership behavior and hunting mechanism under the grey wolf hierarchy in term of movement strategy of search agents [11]. The reason why GWO is used in this research is because based on several studies, the GWO algorithm produces better results when compared to other metaheuristic methods.

In modeling the grey wolf leadership hierarchy, alpha ( $\alpha$ ) will be considered as the most suitable solution, then beta ( $\beta$ ) and delta ( $\delta$ ) will be considered as the second and third best solutions. And omega ( $\omega$ ) will be considered as the remaining solution. The optimization model that will be formed is a representation of the hunting behavior of the grey wolves alpha, beta, and delta, whereas omega will only following these groups [12].

Amid the hunting process, the grey wolves surround their prey. Numerically, the model of surrounding behavior is modeled by the (1) and (2) [13]:

$$\vec{D} = \vec{C}.\vec{X}_{p}(t) - \vec{X}(t) \tag{1}$$

$$\vec{X}(t+1) = \vec{X}_n(t) - \vec{A}.\vec{D}$$
 (2)

where

t = current iteration;

 $\vec{D}$  = surrounding prey vector;

 $\vec{A}, \vec{C} = \text{coefficient vector};$ 

 $\vec{X}$  = a grey wolf's position vector;

 $\vec{X}_n$  = the prey's position vector.

The following (3) and (4) is how the vectors  $\vec{A}$  and  $\vec{C}$  are determined [10]:

$$\vec{A} = 2\vec{a}.\vec{r_1} - \vec{a} \tag{3}$$

$$\vec{C} = 2. \vec{r_2} \tag{4}$$

where  $\vec{r_1}, \vec{r_2}$  are random vectors between [0, 1] and  $\vec{a}$  components are linearly reduced from 2 to 0 during the iterations [10].

To simulate the hunting behavior of grey wolves in mathematics, suppose alpha (candidate solution that the most suitable), beta and delta are the expert in knowledge pertaining to the prey's location. Hence, during the iterations, the three best solutions will be recorded, and for the next iteration calculation will be updated based on the previous three best solutions (or also called the best search agents position). The formulas defined by (5)-(7) [10], [12]:

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$$D_{\alpha} = |C_1 \cdot X_{\alpha} - X|,$$
  

$$\vec{D}_{\beta} = |\vec{C}_2 \cdot \vec{X}_{\beta} - \vec{X}|,$$
  

$$\vec{D}_{\delta} = |\vec{C}_3 \cdot \vec{X}_{\delta} - \vec{X}|$$
(5)

$$\vec{X}_{1} = \vec{X}_{\alpha} - \vec{A}_{1} \cdot (\vec{D}_{\alpha}), 
\vec{X}_{2} = \vec{X}_{\beta} - \vec{A}_{2} \cdot (\vec{D}_{\beta}), 
\vec{X}_{3} = \vec{X}_{\delta} - \vec{A}_{3} \cdot (\vec{D}_{\delta})$$
(6)

$$\vec{X}(t+1) = \frac{\vec{X}_1 + \vec{X}_2 + \vec{X}_3}{3} \tag{7}$$

The iteration termination of the grey wolf optimization (GWO) calculation is based on the convergence of the optimal solution required. The best solution is obtained from calculating the alpha score, and the parameter that will be used in the next calculation or next step is the value of the alpha position that has been obtained. If the problem does not have any constraint tag then to get the solution by stopping the iteration process by setting the maximum iteration (t) at the beginning of the computation process [14].

## 2.3. Support vector machine (SVM)

Support vector machine (SVM) is a classification method introduced by Boser, Guyon, and Vapnik in 1992. The SVM method is extensively used in bioinformatics because of its high accuracy, and is able to handle data with high dimensions [15]. SVM aims to find a hyperplane between two target classes in the data and maximize the margin [16]. The linear model for hyperplane is in (8) [17].

$$f(x) = sign(w^T x + b) \tag{8}$$

Where,

w = weight vector; b = bias term; x = input vector.

The following Figure 1 is a representation of the SVM method [18].



Figure 1. A representation of SVM

Given a dataset  $\{(x_i, y_i) | x_i \in X^n, y_i \in \{-1, +1\}, i = 1, 2, ..., D\}$ , the SVM optimization problem is in (9) [17]:

min. 
$$\frac{1}{2} ||w||^2$$
 (9)

Subject to:

$$y_i[w_i^T x_i + b] \ge 1 \tag{10}$$

ISSN: 2252-8938

If the classification seems to have an error tolerance, the SVM optimization is based on (11) [17]:

$$\min. \frac{1}{2} ||w||^2 + C \sum_{i=1}^{D} \xi_i \tag{11}$$

Subject to:

$$\begin{bmatrix} y_i [w_i^T x_i + b] \ge 1 - \xi_i \\ \xi_i \ge 0 \end{bmatrix}$$
(12)

When the problem cannot be separated linearly in the input space, the strategy is to apply kernel function in SVM. The kernel function defined in (13).

$$K(x_i, x_j) = \langle \varphi(x_i), \varphi(x_j) \rangle \tag{13}$$

Where  $\varphi(x_i)$  denotes the input data  $x_i$ 's mapped feature space, and in the high-dimensional feature space,  $K(x_i, x_j)$  is the kernel function that is equal to the inner product of two vectors [19]. Thus, the kernel SVM formula is in the (14) [20].

$$\min_{w,\xi} \frac{1}{2} w^T w + C \sum_{i=1}^D \xi_i \tag{14}$$

Subject to:

$$\begin{cases} y_i(w^T\varphi(x_i)+b) \ge 1-\xi_i \\ \xi_i \ge 0, \forall i \end{cases}$$
(15)

We can get the SVM kernel optimization expressed in terms of variables  $\lambda_i$  [21]:

$$\max_{\lambda} \left( \sum_{i=1}^{D} \lambda_i - \frac{1}{2} \sum_{i=1}^{D} \lambda_i \lambda_j y_i y_j K(x_i, x_j) \right)$$
(16)

Subject to:

$$\begin{cases} \sum_{i=1}^{D} \lambda_i y_i \\ 0 \le \lambda_i \le C \end{cases}$$
(17)

In the next algorithm, the kernel function that will be used is RBF kernel where

$$K(x_i, x_j) = \exp\left(-\gamma \|x_i - x_j\|^2\right)$$
(18)

# 2.4. Optimizing SVM parameters using GWO algorithm

SVM has been used successfully in solving many classification problems. SVM performance is affected by the choice of kernel function chosen and their parameter values [22]. As we know, the accuracy of prediction of the SVM model is related to the correct parameters. To maximize the SVM performance the parameters must be tuned; the error penalty C and the kernel parameters. To adjust the parameters ( $C, \gamma$ ), the training data was used. Therefore, this meta-heuristic technique can be use to find the feasible SVM parameters as written on review from Faris, Aljarah, Al-Betar and Mirjalili [23]. Figure 2 depicts the process flow chart of the GWO-SVM algorithm [24], [25].

#### 2.5. Performance measurement

To evaluate performance of the classification models, the score of accuracy will be used. Compute the accuracy score using the formula (19) with TP TN FN FP based on Table 2.

$$Accuracy = \frac{TN+TP}{FP+TP+TN+FN}$$
(19)

Where TP is number of tests are having acute sinusitis and accurately diagnosed having acute sinusitis and TN is number of tests are non-acute sinusitis and accurately diagnosed belonging non-acute sinusitis. While FN is number of tests with acute sinusitis but inaccurately classified as non-acute sinusitis and FP is number of tests are non-acute sinusitis but inaccurately classified as having acute sinusitis.



Figure 2. Algorithm of GWO-SVM

# 3. RESULTS AND DISCUSSION

The performance of SVM RBF Kernel and GWO-SVM RBF Kernel was analyzed using the python programming language with different percentages of training data, which started from 10% to 90%. The parameters obtained through GWO-SVM for each percentage of training data are shown in Table 3, and SVM RBF Kernel and GWO-SVM RBF Kernel results shown in Table 4 and Table 5. Figure 3 shows the comparative analysis for accuracy of SVM and GWO-SVM. The result showed that by optimizing the SVM classifier's input parameters, the proposed GWO-SVM solution outperformed the SVM algorithm.

Table 3. The parameters obtained through GWO-SVM With 20 search agents and 5 Iterations

Training Data	(%) C	γ
10	7.004445536344297	6.476843943302934
20	8.731737527898455	1.359474540632652
30	4.303597397541817	6.281462902997266
40	4.4446128650007	1.0827894101780806
50	3.4322191738424563	2.1017987982053046
60	2.0760205960583415	2.372396801652168
70	6.0559739832478385	2.6561410142050117
80	7.547317942283015	3.4721712260851993
90	6.899716798237926	2.5180009935420666

Table 4.	The	performance	of S	VM	RBF	kernel

Table 5. The performance of GWO-SVM RBF kernel

Training Data (%)	Accuracy (%)	
10	96.11	
20	93.12	
30	88.57	
40	98.33	
50	98.00	
60	97.50	
70	96.67	
80	97.50	
90	95.00	

Training Data (%)	Accuracy (%)
10	100
20	100
30	99.28
40	99.17
50	100
60	98.75
70	98.33
80	100
90	100





Figure 3. Comparison between SVM RBF Kernel and GWO-SVM RBF Kernel

## 4. CONCLUSION

This research begins with acute sinusitis data, which modeled by proposed method GWO-SVM RBF Kernel and SVM RBF Kernel as a comparison, and the GWO technique used to optimizing the parameters in SVM. The proposed method produce the lowest accuracy of 98.33% when data training at 70% and achieved the highest accuracy of 100% when data training at 10%, 20%, 50%, 80% and 90%. The comparison result above illustrate the effectiveness of using GWO to tuned the parameters in SVM classifier. However, the difference in accuracy results are not very significant, because using SVM without GWO alone provides good accuracy results. For future research, this method can be used for other data with expectation that the accuracy of the classification results obtained is better than just using conventional methods, and also if it still use the SVM classifier, other kernel functions can be used.

#### ACKNOWLEDGEMENTS

This research full supported financially by University of Indonesia, with a PUTI Q2 2020 research grant scheme.

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